SEC Form 4

FORM 4

501 COMMERCE STREET

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

4. If Amendment, Date of Original Filed (Month/Day/Year)

OMB APPROVAL

6. Individual or Joint/Group Filing (Check Applicable

Form filed by One Reporting Person

Form filed by More than One Reporting

Line)

X

Person

| to Section | s box if no longer subject 16. Form 4 or Form 5 | STATEMEN | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP | | | | | |
|----------------------------|--|----------|--|---|--------------------------------------|--------------|--|--|
| obligation: Instruction | s may continue. <i>See</i> n 1(b). | Filed | pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 | | hours per respor | nse: 0.5 | | |
| 1. Name and Burke Ka | Address of Reporting Perso ate <u>C</u> | n* | 2. Issuer Name and Ticker or Trading Symbol <u>ALLIANCEBERNSTEIN HOLDING L.P.</u> [AB] | 5. Relationship of F (Check all applicab Director | 10% Owner ve title Other (specify | | | |
| (Last) C/O ALLL | (First) ANCEBERNSTEIN | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 08/10/2023 | A below) Forr | ner COO & C | below) FO | | |

| (Street) NASHVILLE | TN | 37203 | | | |
|-----------------------|---------|-------|--|--|--|
| (City) | (State) | (Zip) | | | |

Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

Non-Derivative Securities Acquired Disposed of or Repeticially Owned

| Table I - | Non-Derivativ | e Securities A | cquir | ed, I | Disposed | of, or | Beneficially | Owned | | |
|---------------------------------|--|---|------------------------------|-------|--|---------------|---|---|---|-----------|
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | Disposed Of (D) (Instr. 3, 4 and 5) Securities Beneficially | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (11150.4) |
| AB Holding Units ⁽¹⁾ | 08/10/2023 | | S ⁽²⁾ | | 5,000 | D | \$29.5598 ⁽³⁾ | 110,205 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | | vative rities hired r osed) r. 3, 4 | 6. Date Exerc Expiration Da (Month/Day/Y | ate | Deriv | int of rities rlying ative rity (Instr. | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|---|------------------------------|---|-----|--|--|--------------------|-------|---|---|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. Units representing assignments of beneficial ownership of limited partnership interests in AllianceBernstein Holding L.P. ("AB Holding Units")

X

2. Ms. Burke sold her 5,000 AB Holding Units under a 10b5-1 plan she adopted on December 19, 2022.

3. Ms. Burke sold 5,000 AB Holding Units at prices ranging from \$29.44 to \$29.85 per Unit. The price set forth in the table is the weighted average of her sales at these prices.

Remarks:



08/14/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.